

<div><div>RECEIVED DEC 22 2003 PATENT & TRADEMARK OFFICE</div><div>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))</div></div>			Docket No. 114309-1007		
Re Application Of: Gerald H rn					
Serial No. 09/854,414		Filing Date May 10, 2001		Examiner Z. Fay	
				Group Art Unit 1614	
Title: OPHTHALMIC FORMULATIONS COMPRISING IMIDAZOLINE					
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

REF: 2 2 2003

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Docket No.
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Re Applicant:

Gerald Horn

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09/854,414

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Group Art Unit
1614

OPHTHALMIC FORMULATIONS COMPRISING IMIDAZOLINE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: December 19, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gerald Horn
Appl. No.: 09/854,414
Conf. No.: 7675
Filed: May 10, 2001
Title: OPTHALMIC FORMULATIONS COMPRISING IMIDAZOLINE
Art Unit: 1614
Examiner: Z. Fay
Docket No.: 114309-1007

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

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<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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4,629,456	December 16, 1986	Edwards
4,834,727	May 30, 1989	Cope
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<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

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Dated: December 19, 2003



**INFORMATION DISCLOSURE CITATION
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(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.

114309-1007

Application No.

09/854,414

Applicant

Gerald Horn

Filing Date

May 10, 2001

Group

1614

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
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	4,629,456	12-16-86	Edwards			
	4,834,727	05-30-89	Cope			
	4,906,613	03-06-90	Watkins			
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Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No

Examiner's
Initials

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner: /Zohreh Fay/ (05/11/2011)

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /ZF/



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